

RECEIPT



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Assistant Commissioner for Patents Washington, D.C. 20231  
on 10/19/00

Attorney Docket No.: 116869P-908106US

**PATENT**  
**FILE COPY**

By: [Signature]

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Junichi Miura et al.

Application No.: 09/591,927

Filed: June 12, 2000

For: Electronic Authentication Method,  
Electronic Authentication Apparatus and  
Electronic Authentication Storage Medium

Examiner: Unassigned

Art Unit: 1956

REQUEST FOR CORRECTED FILING  
RECEIPT

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NOV 13 2000  
TECH CENTER 2700

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

There is an error in that the inventor, Ryota Koiso's address should read as follows:

**Kawaguchi, Japan**

The correction is not due to any error by applicant and no fee is due.

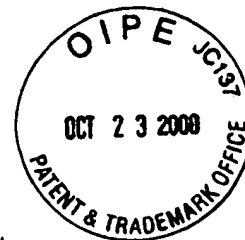
Please charge any additional fees or credit overpayment to the Deposit Account

No. 20-1430. This Petition is submitted in triplicate.

Respectfully submitted,



Paul A. Durdik  
Reg. No. 37,819



TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834  
Tel: (650) 326-2400  
Fax: (650) 326-2422  
PAD:cmm

PA 3104289 v1

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16869P.00810045

## FILING RECEIPT



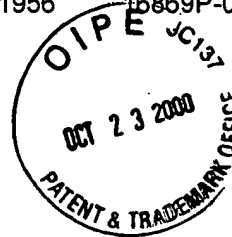
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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

RCDolwell

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/591,927	06/12/2000	2766	1956	16869P-008100	4	34	16

20350  
TOWNSEND AND TOWNSEND AND CREW LLP  
TWO EMBARCADERO CENTER  
EIGHTH FLOOR  
SAN FRANCISCO, CA 94111



Date Mailed: 08/11/2000

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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Junichi Miura, Tokyo, JAPAN;  
Yukio Saito, Narashino, JAPAN;  
Ryota Koiso, Kawaguchi, JAPAN;  
*KAWAGUCHI,*

## Continuing Data as Claimed by Applicant

## Foreign Applications

JAPAN 11-174066 06/21/1999

If Required, Foreign Filing License Granted 08/19/2000

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& CREW

## Title

Electronic authentication method, electronic authentication apparatus and electronic authentication storage medium

## Preliminary Class

713

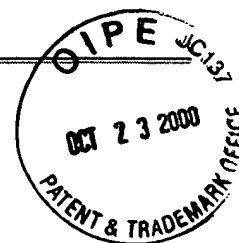
Data entry by : THOMAS, SHEILA

Team : OIPE

Date: 08/21/2000

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

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NOV 13 2000  
TECH CENTER 2700

## FORMALITIES LETTER



\*OC00000005338061\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/591,927	06/12/2000	Junichi Miura	16869P-008100

20350  
TOWNSEND AND TOWNSEND AND CREW LLP  
TWO EMBARCADERO CENTER  
EIGHTH FLOOR  
SAN FRANCISCO, CA 94111



Date Mailed: 08/21/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

---

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/25/2000 RVUDNG1 00000022 201430 09591927

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

PATENT  
Attorney Docket No. 16869P-008100US

Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

on 10/19/00

TOWNSEND and TOWNSEND and CREW LLP

By [Signature]



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Junichi Miura et al.

Application No.: 09/591,927

Filed: June 12, 2000

For: Electronic Authentication Method,  
Electornic Authentication Apparatus  
and Electronic Authentication Storage  
Medium

Examiner: Unassigned

Art Unit: 1956

TRANSMITTAL LETTER –  
RESPONSE TO NOTICE OF MISSING  
PARTS

Attn: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Application - Filing Date  
Granted dated August 21, 2000, enclosed are the following to be made of record in the above-  
identified application:

- 1) Executed Declaration and Power of Attorney
- 2) Copy of Notice of Missing Parts

(a) Missing Parts Surcharge \$130.00

Junichi Miura et al.

Application No.: 09/591,927

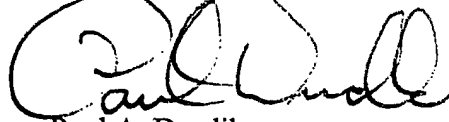
Page 2

**TOTAL FEES TO BE CHARGED**

**\$130.00**

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Paul A. Durdik", is written over a circular stamp or seal.

Paul A. Durdik

Reg. No. 37,819

TOWNSEND and TOWNSEND and CREW LLP

Two Embarcadero Center, 8th Floor

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rev. 10/1/00

PA 3104274 v1

#4



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

FILE COPY



Bib Data Sheet

<b>SERIAL NUMBER</b> 09/591,927	<b>FILING DATE</b> 06/12/2000 <b>RULE</b> -	<b>CLASS</b> 713	<b>GROUP ART UNIT</b> 2766	<b>ATTORNEY DOCKET NO.</b> 16869P-008100	
<b>APPLICANTS</b> Junichi Miura, Tokyo, JAPAN; Yukio Saito, Narashino, JAPAN; Ryota Koiso, Kawaguchi, JAPAN;					
<b>** CONTINUING DATA *****</b>					
<b>** FOREIGN APPLICATIONS *****</b> JAPAN 11-174066 06/21/1999					
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 08/19/2000</b>					
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance		<b>STATE OR COUNTRY</b> JAPAN	<b>SHEETS DRAWING</b> 4	<b>TOTAL CLAIMS</b> 34	<b>INDEPENDENT CLAIMS</b> 16
Verified and Acknowledged Examiner's Signature _____ Initials _____					
<b>ADDRESS</b> 20350					
<b>TITLE</b> Electronic authentication method, electronic authentication apparatus and electronic authentication storage medium					
<b>FILING FEE RECEIVED</b> 2086	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		